

<b>REPORT TO:</b>	<b>GENERAL PURPOSES AND AUDIT COMMITTEE</b> <b>07 December 2017</b>
<b>SUBJECT:</b>	<b>Corporate Risk Register</b>
<b>LEAD OFFICER:</b>	<b>Executive Director Resources &amp; S151 Officer</b>
<b>CABINET MEMBER</b>	<b>Councillor Simon Hall, Cabinet Member for Finance and Treasury</b>
<b>WARDS:</b>	<b>All</b>
<b>CORPORATE PRIORITY/POLICY CONTEXT:</b>	
<p>This report presents the corporate risk register as at 07 December 2017 as part of the General Purposes and Audit Committee's role of overseeing the risk management framework and receiving assurance that significant corporate (Red) risks are identified and mitigated by the organisation. This process will ensure that the risk management function will continue to contribute to the achievement of the Council's vision, key priorities and objectives.</p> <p>In line with the Council's commitment to openness and transparency, the corporate risk report will appear in Part A of the agenda unless there is specific justification for any individual entries being considered under Part B (set out under Paragraph 3 of Schedule 12A of the Local Government Act 1972 as amended).</p>	
<b>FINANCIAL SUMMARY: No additional direct financial implications.</b>	
<b>FORWARD PLAN KEY DECISION REFERENCE NO.: N/A</b>	

## **1.1 RECOMMENDATIONS**

The Committee is asked to:

Note the contents of the corporate risk register as at 07 December 2017

## **2. EXECUTIVE SUMMARY**

- 2.1 The report updates the General Purposes & Audit Committee Members on the corporate risk register (the register) as at 07 December 2017.

## **3. DETAIL**

**Risk Register Report**

- 3.1 The register presented details all the current corporate risks rated at a total risk score of 20 and above (Red Risks).
- 3.2 Since the register was last considered by Members, no new risks have been escalated to red status

The following risk(s) have been de-escalated to 'high amber' since the report was last considered by Members

- DASHHN0039: Lack of supply of temporary accommodation and affordable private and public sector accommodation worsens, increasing use and costs of emergency accommodation and resulting in further budget pressures. (Risk jointly owned with Mark Fowler Gateway Services) This risk was reviewed and accepted for de-escalation at DMT 04/10/2017 due to increased confidence of officers in relation to a number of schemes in this area including the Housing stock purchases (ETA 2); Concord, Windsor and Sycamore House leases have been re-gearred to ensure longevity of Council use at a more economically viable rate incorporating more favourable terms and conditions and the additional £1.4M funding secured by Gateway services division for further preventative work.
  - DASHHN0043: Affordable housing: Challenge to future supply due to: i) changes in housing market resulting in new developments not including housing/affordable housing element ii) reduced levels of affordable housing achieved through planning obligations (such as s106s and CIL) because of difficulty with viability issues iii) reduced ability to deliver affordable housing through the new Homes & Community Agency Funding and delivery model due to reduced grant funding iv) local market dynamics whereby private sector landowners/developers may follow alternative development uses, e.g., commercial uses or temporary uses v) Housing and Planning Act implications still not completely clear for example 'higher value levy' on council owned housing stock may result in housing being sold off. This risk was reviewed and agreed for de-escalation at DMT on the 04/10/2017. This reflects increased confidence by officer in this area in a number of initiatives including the setup of Croydon LB housing company to operate outside borrowing restrictions of HRA and the Brick by Brick development company currently reviewing a number of sites for development.
- 3.3 In line with the Council's commitment to openness and transparency, the register will appear with the corporate risk report in Part A of the agenda unless, in accordance with the Access to Information Procedure Rules in the Council's Constitution there is specific justification for any individual entries being considered under Part B (set out under Paragraph 3 of Schedule 12A of the Local Government Act 1972 as amended).

- 3.4 It should be noted that some of the grounds for exemption from public access are absolute. However, for others such as that in para.3, 'Information relating to the financial or business affairs of any particular person (including the authority holding that information)', deciding in which part of the agenda they will appear, is subject to the further test of whether, in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information. .

#### **4. FINANCIAL CONSIDERATIONS**

- 4.1 There are no additional financial considerations arising from this report.

(Approved by Lisa Taylor – Director of Finance, Investment & Risk and Deputy S151 Officer)

#### **5. COMMENTS OF THE COUNCIL SOLICITOR AND MONITORING OFFICER**

- 5.1 The Council Solicitor advises that there are no additional legal considerations arising from this report.

(Approved by: Jacqueline Harris-Baker, Director of Law & Monitoring Officer)

#### **6. HUMAN RESOURCES IMPACT**

- 6.1 There are no additional Human Resources implications arising from this report.

(Approved by: Sue Moorman, Director of HR)

#### **7. EQUALITIES, ENVIRONMENTAL AND CRIME AND DISORDER REDUCTION IMPACTS**

- 7.1 None

#### **8. RISK ASSESSMENT**

- 8.1 No further risk issues other than those detailed in the report.

- 8.2 The corporate Risk Management Team (RMT) incorporates a '**horizon scan**' strategy in respect of the risk management activities undertaken as part of the Council's Risk Management Framework.

The horizon scan strategy is implemented through the distillation of cross – organisational & external professional networks maintained by the RMT. This strategy incorporates a multi-faceted approach including:

- intelligence sharing (especially in respect of significant events / incidents) with other local authorities, the LGA etc;
- collaborative working particularly the London Boroughs network, London Councils and the GLA;
- research conducted via professional and generic media mechanisms for example Association of Local Authority Risk Mangers, Cipfa etc;

- regular attendance at DMT's / DLT's on a quarterly basis; and
- participation in the relevant 'working group' activities / projects for example major systems implementation such as Oracle Cloud, or policy/legislative change implementation such as IR35 compliance;

The ability to 'add value' and strategic direction and guidance is an integral aspect of the risk management consultancy available to senior officers.

## **9. FREEDOM OF INFORMATION/DATA PROTECTION CONSIDERATIONS**

- 9.1 Information contained in the Council's Risk register or held in relation to the Council's risk management procedures may be accessible under the Freedom of Information Act subject to the application of any relevant exemptions, such as commercial sensitivity and whether disclosure was in the 'public interest'.

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**CONTACT OFFICER:** Malcolm Davies, Head of Risk & Corporate Programme Office

**APPENDICES:** Appendix 1 Corporate Risk Register

**BACKGROUND DOCUMENTS:** None