

## 2021/22 Annual Audit Plan

### KEY FINANCIAL SYSTEMS REVIEWS

Business Rates and Grants  
Adult and Children's Social Care Payment Processes  
Housing Repairs  
Parking Enforcement  
Payments to Schools (Include licensed deficit process)  
Continuous auditing  
Follow-up of audits

### Total Key Financials Audits

### CORPORATE RISK AUDITS

Service Based Budget Monitoring: Across the Organisation  
Standard Operating Procedures: DLTs, DMTs and Departmental Communications  
Sundry Expenditure : Compliance Checks  
Savings Plans : Formulation and Monitoring  
Fees and Charges  
Use of Covid-19 Government Assistance  
Staff Expenses - Compliance checks  
Follow up of audits

### Total Corporate Risk Audits

### DEPARTMENTAL RISK AUDITS

Early Years and Parenting  
UASC - Value for Money  
In-House Foster Carer Recruitment  
Block Booking of Places  
SEND  
Youth Services / Youth Engagement  
Youth Offending  
Children with Disabilities  
Leaving Hospital - Schemes 1 and 2  
Housing Forecasting and Allocations  
Growth Zone Programme  
CALAT - Income generation and controls  
Traffic Management  
Food Safety  
BxB  
Fire Safety (Council Residential Buildings)  
PMO - Structures and Processes  
PMO - Savings and Action Plans  
Community Fund : Contracts  
Asset Strategy (Short term)  
My Resources : HR Modules  
Pension Improvement Plan  
Community Equipment Service - Governance  
Information Management  
Oracle - HR Functions  
MTFS  
Capital Budgeting and Treasury Management  
Capitalisation Funding, Capital Receipts and Revenue funding of Capital

Reserves : General and Earmarked HRA - Accounting Follow up of audits
<b>Total Departmental Risk Register Audits</b>
<b>COMPUTER AUDITS</b>
Security Architecture Application Support IT Asset Management Windows 10 Security 3rd Party Service Provider Management Follow up of audits
<b>Total Computer Audits</b>
<b>CONTRACT AUDITS</b>
Contract Pipeline Contract audits to be determined Follow-up of audits
<b>Total Contract Audits</b>
<b>SCHOOLS AUDITS</b>
<b>Primary &amp; Nursery Schools</b> Crosfield Nursery and Selhurst Early Years Purley Nursery Beaumont Primary Downsview Primary School Elmwood Junior School Gresham Primary School Howard Primary School Norbury Manor Primary Rockmount Primary School St John's C of E School
<b>Secondary Schools</b> Thomas More Catholic School Virgo Fidelis Convent Senior School
<b>PRU's &amp; Special Schools</b> Saffron Valley Priory
Follow-up of Schools audits
<b>Total Schools Audits</b>
<b>CONTINGENCY</b>
Follow up of External Review Action Plans (RiPI, Finance Improvements, Rapid Rev Contingency for fraud including NFI and other ad hoc audits Contingency for Grant Claims
<b>Total Contingency</b>
<b>ADMIN AND MANAGEMENT</b>
<b>Total Admin and Management</b>
<b>GRAND TOTAL BUDGET</b>

Dept	Audit Days
Resources	10
Resources	20
Resources	10
Resources	10
Resources	10
Resources	60
Resources	10
	<b>130</b>
Corporate	15
Corporate	20
Corporate	15
Corporate	15
Corporate	15
Corporate	10
Corporate	15
Corporate	10
	<b>115</b>
Children, Families and Education	10
Children, Families and Education	15
Children, Families and Education	10
Health, Wellbeing & Adults	15
Health, Wellbeing & Adults	10
Place	15
Place	10
Place	10
Place	10
Place	15
Place	15
Resources	10
Resources	15
Resources	10
Resources	15
Resources	10
Resources	15
Resources	10
Resources	10

Resources	10
Resources	10
	40
	<b>380</b>
Resources	15
	10
	<b>85</b>
Resources	10
Various	50
	10
	<b>70</b>
Children, Families and Education	5.5
Children, Families and Education	7.5
Children, Families and Education	7.5
Children, Families and Education	5.5
Children, Families and Education	5.5
	14
	<b>95.0</b>
(view, etc.)	100
	25
	14
	<b>139</b>
	<b>40</b>
	<b>1054</b>