

2021/22 Annual Audit Plan

KEY FINANCIAL SYSTEMS REVIEWS

Business Rates and Grants
Adult and Children's Social Care Payment Processes
Housing Repairs
Parking Enforcement
Payments to Schools (Include licensed deficit process)
Continuous auditing
Follow-up of audits

Total Key Financials Audits

CORPORATE RISK AUDITS

Service Based Budget Monitoring: Across the Organisation
Standard Operating Procedures: DLTs, DMTs and Departmental Communications
Sundry Expenditure : Compliance Checks
Savings Plans : Formulation and Monitoring
Fees and Charges
Use of Covid-19 Government Assistance
Staff Expenses - Compliance checks
Follow up of audits

Total Corporate Risk Audits

DEPARTMENTAL RISK AUDITS

Early Years and Parenting
UASC - Value for Money
In-House Foster Carer Recruitment
Block Booking of Places
SEND
Youth Services / Youth Engagement
Youth Offending
Children with Disabilities
Leaving Hospital - Schemes 1 and 2
Housing Forecasting and Allocations
Growth Zone Programme
CALAT - Income generation and controls
Traffic Management
Food Safety
BxB
Fire Safety (Council Residential Buildings)
PMO - Structures and Processes
PMO - Savings and Action Plans
Community Fund : Contracts
Asset Strategy (Short term)
My Resources : HR Modules
Pension Improvement Plan
Community Equipment Service - Governance
Information Management
Oracle - HR Functions
MTFS
Capital Budgeting and Treasury Management
Capitalisation Funding, Capital Receipts and Revenue funding of Capital

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| Reserves : General and Earmarked HRA - Accounting Follow up of audits |
| Total Departmental Risk Register Audits |
| COMPUTER AUDITS |
| Security Architecture Application Support IT Asset Management Windows 10 Security 3rd Party Service Provider Management Follow up of audits |
| Total Computer Audits |
| CONTRACT AUDITS |
| Contract Pipeline Contract audits to be determined Follow-up of audits |
| Total Contract Audits |
| SCHOOLS AUDITS |
| Primary & Nursery Schools Crosfield Nursery and Selhurst Early Years Purley Nursery Beaumont Primary Downsview Primary School Elmwood Junior School Gresham Primary School Howard Primary School Norbury Manor Primary Rockmount Primary School St John's C of E School |
| Secondary Schools Thomas More Catholic School Virgo Fidelis Convent Senior School |
| PRU's & Special Schools Saffron Valley Priory |
| Follow-up of Schools audits |
| Total Schools Audits |
| CONTINGENCY |
| Follow up of External Review Action Plans (RiPI, Finance Improvements, Rapid Rev Contingency for fraud including NFI and other ad hoc audits Contingency for Grant Claims |
| Total Contingency |
| ADMIN AND MANAGEMENT |
| Total Admin and Management |
| GRAND TOTAL BUDGET |

| Dept | Audit Days |
|----------------------------------|------------|
| Resources | 10 |
| Resources | 20 |
| Resources | 10 |
| Resources | 10 |
| Resources | 10 |
| Resources | 60 |
| | 10 |
| | 130 |
| Corporate | 15 |
| Corporate | 20 |
| Corporate | 15 |
| Corporate | 15 |
| Corporate | 15 |
| Corporate | 10 |
| Corporate | 15 |
| Corporate | 10 |
| | 115 |
| Children, Families and Education | 10 |
| Children, Families and Education | 15 |
| Children, Families and Education | 10 |
| Children, Families and Education | 10 |
| Children, Families and Education | 10 |
| Children, Families and Education | 10 |
| Children, Families and Education | 10 |
| Children, Families and Education | 10 |
| Health, Wellbeing & Adults | 15 |
| Health, Wellbeing & Adults | 10 |
| Place | 15 |
| Place | 10 |
| Place | 10 |
| Place | 10 |
| Place | 15 |
| Place | 15 |
| Resources | 10 |
| Resources | 15 |
| Resources | 10 |
| Resources | 10 |
| Resources | 10 |
| Resources | 10 |
| Resources | 10 |
| Resources | 15 |
| Resources | 10 |
| Resources | 10 |

| | |
|----------------------------------|-------------|
| Resources | 10 |
| Resources | 10 |
| | 40 |
| | 380 |
| Resources | 15 |
| Resources | 15 |
| Resources | 15 |
| Resources | 15 |
| Resources | 15 |
| | 10 |
| | 85 |
| Resources | 10 |
| Various | 50 |
| | 10 |
| | 70 |
| Children, Families and Education | 5.5 |
| Children, Families and Education | 5.5 |
| Children, Families and Education | 5.5 |
| Children, Families and Education | 5.5 |
| Children, Families and Education | 5.5 |
| Children, Families and Education | 5.5 |
| Children, Families and Education | 5.5 |
| Children, Families and Education | 5.5 |
| Children, Families and Education | 5.5 |
| Children, Families and Education | 5.5 |
| Children, Families and Education | 5.5 |
| Children, Families and Education | 7.5 |
| Children, Families and Education | 7.5 |
| Children, Families and Education | 5.5 |
| Children, Families and Education | 5.5 |
| | 14 |
| | 95.0 |
| (view, etc.) | 100 |
| | 25 |
| | 14 |
| | 139 |
| | |
| | 40 |
| | 1054 |